

# Service Bureau Authorization Form

1. This Service Bureau Authorization Form (this "Authorization") is between DBOT ATS, LLC ("DBOT"), the Subscriber of the ATS designated below ("Subscriber"), and the Authorized Service Bureau designated below ("Service Bureau").
2. This Authorization authorizes the Service Bureau to route orders and any modifications thereto to the ATS on behalf of the Subscriber.
3. By executing this Authorization, the undersigned Subscriber agrees that it is responsible for all orders using its MPID entered on the ATS through or by the Service Bureau. The Subscriber also agrees to accept and honor all trades executed on the ATS as a result of orders routed to the ATS through or by the Service Bureau using the Subscriber's MPID, regardless of whether such orders were provided to the ATS in error by the Service Bureau.
4. The Subscriber understands and agrees that it is its sole responsibility to immediately notify DBOT in the event that it wishes to terminate this Authorization.
5. This Authorization is for the term of one (1) year from the date of execution and shall be automatically renewed on an annual basis unless terminated by any party upon twenty-four (24) hours prior written notice.
6. This Authorization shall be governed by the laws of the State of Delaware without regard to its choice of law provisions.

IN WITNESS WHEREOF, the parties have executed this Service Bureau Authorization effective as of the date set forth below.

[SERVICE BUREAU]:

[SUBSCRIBER]:

DBOT ATS LLC

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Title (must be an officer)

\_\_\_\_\_  
Title (must be an officer)

\_\_\_\_\_  
Title

\_\_\_\_\_  
Name of Service Bureau

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

\_\_\_\_\_  
Email Address of Contact Person

\_\_\_\_\_  
MPID of Subscriber